

**PLAN ADMINISTRATOR'S
STATEMENT TO RECIPIENT PLAN
ON DIRECT ROLLOVER
OF ROTH 401(k) PLAN DISTRIBUTION**

To _____ (“Receiving Plan”)

From the Plan Administrator of the _____
_____ (“Distributing Plan”)

Re: Information on Roth 401(k) Plan Account

_____ (“Participant”)

The undersigned Plan Administrator of the Distributing Plan provides the following information regarding the Participant’s account that is subject to a direct rollover to the Receiving Plan (*check 1 and complete the blanks, or check 2*):

1. The following information applies to the distribution:

(a) The first year of the Participant’s five-taxable-year participation period is _____.

(b) The portion of the distribution attributable to the Participant’s Roth deferral contributions (the Participant’s “tax basis”) is: \$_____ [*enter dollar amount*].

2. The distribution is a qualified distribution.

Dated: _____

Plan Administrator, Distributing Plan

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